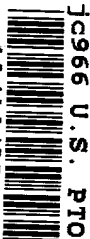


12/14/00



Jc966 U.S. PTO

Please type a plus sign (+) inside this box → ☒

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P-3918D1

First Inventor

LUBRECHT

Title

Low Silicone Glass Prefillable Syringe

Express Mail Label No.

EL438508133US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages **17**]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total **2**]

5. Oath or Declaration [Total Pages **1**]

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/265,677**

Prior application information: Examiner **KOLB**Group / Art Unit **1762**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

**26253**

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type) **ALLEN W. WARK**Registration No. (Attorney/Agent) **30,503**

Signature

Date **12/14/00**

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

## Complete if Known

Application Number  
 Filing Date  
 First Named Inventor **LUBRECHT**  
 Examiner Name  
 Group Art Unit  
 Attorney Docket No. **P-3918D1**

TOTAL AMOUNT OF PAYMENT **\$710.00**

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-1666**  
 Deposit Account Name **Becton, Dickinson and Company**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid        |
|----------------------------|----------------------------|------------------------|-----------------|
| 101 710                    | 201 355                    | Utility filing fee     | <b>710.00</b>   |
| 106 320                    | 206 160                    | Design filing fee      |                 |
| 107 490                    | 207 245                    | Plant filing fee       |                 |
| 108 710                    | 208 355                    | Reissue filing fee     |                 |
| 114 150                    | 214 75                     | Provisional filing fee |                 |
| SUBTOTAL (1)               |                            |                        | <b>\$710.00</b> |

#### 2. EXTRA CLAIM FEES

| Extra Claims       |              | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | 13 -20** = 0 | X              | 0.00     |
| Independent Claims | 2 -3** = 0   | X              | 0.00     |
| Multiple Dependent |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid      |
|----------------------------|----------------------------|--|---------------|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |               |
| 102 80                     | 202 40                     | Independent claims in excess of 3                          |               |
| 104 270                    | 204 135                    | Multiple dependent claim, if not paid                      |               |
| 109 80                     | 209 40                     | ** Reissue independent claims over original patent         |               |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |               |
| SUBTOTAL (2)               |                            |  | <b>\$0.00</b> |

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                    | 139 130                    | Non - English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for ex parte reexamination                            |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |          |
| 116 390                    | 216 195                    | Extension for reply within second month                                    |          |
| 117 890                    | 217 445                    | Extension for reply within third month                                     |          |
| 118 1,390                  | 218 695                    | Extension for reply within fourth month                                    |          |
| 128 1,890                  | 228 945                    | Extension for reply within fifth month                                     |          |
| 119 310                    | 219 155                    | Notice of Appeal   |          |
| 120 310                    | 220 155                    | Filing a brief in support of an appeal                                     |          |
| 121 270                    | 221 135                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,240                  | 241 620                    | Petition to revive - unintentional   |          |
| 142 1,240                  | 242 620                    | Utility issue fee (or reissue)   |          |
| 143 440                    | 243 220                    | Design issue fee   |          |
| 144 600                    | 244 300                    | Plant issue fee  |          |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126 180                    | 126 180                    | Submission of Information Disclosure Statement                             |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 710                    | 246 355                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 710                    | 249 355                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 710                    | 279 355                    | Request for Continued Examination (RCE)                                    |          |
| 169 900                    | 169 900                    | Request for expedited examination of a design application                  |          |
| Other fee (specify)        |                            |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

### SUBMITTED BY

|                   |   |                                  |               |           |              |
|-------------------|---|----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Allen W. Wark   | Registration No (Attorney/Agent) | 30,503        | Telephone | 201/847-7115 |
| Signature         |  | Date                             | Dec. 14, 2000 |           |              |

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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
**P-3918D1**

In Re Application Of: **LUBRECHT**

Serial No.

Filing Date

Examiner

Group Art Unit

Title:

**LOW SILICONE GLASS PREFILLABLE SYRINGE**

1c914 U.S. PTO  
09/737047  
12/14/00

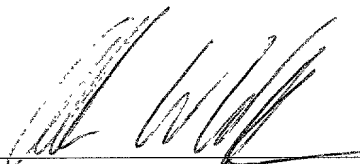
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Power of Attorney**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1666** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
\_\_\_\_\_  
Signature

Dated: **December 14, 2000**

**Allen W. Wark, Reg. No. 30,503**  
**Becton, Dickinson and Company**  
**1 Becton Drive**  
**Franklin Lakes, NJ 07417-1880**  
**(201) 847-7115**

I certify that this document and fee is being deposited on 12-14-00 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

**Kathleen Monesteri**

Typed or Printed Name of Person Mailing Correspondence

CC: